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DT16 Re PCT/PTO

17 MAR 2003

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Office of Initial Patent Examination's  
 Filing Receipt Corrections  
 Commissioner for Patents  
 Washington, D.C. 20231

Re: Patent Application of Estep  
 Serial No. 09/914,969  
 Filed: September 6, 2001  
 DIVING MASK WITH EMBEDDED  
 COMPUTER SYSTEM  
 Our File No. 4013-79493US

CERTIFICATE OF MAILING

I hereby certify that this paper is being  
 deposited with the United States Postal  
 Service as first-class mail in an envelope  
 addressed to: Commissioner for Patents,  
 Washington, D.C. 20231, on this date:

3-11-03  
 Date Eric D. Cohen, Reg. No. 38,110

Dear Sir:

Enclosed herewith are the following:

1. Transmittal Letter (in duplicate);
2. Request to Correct Filing Receipt and to Correct Notice of Acceptance of Application (in duplicate);
3. Copy of Filing Receipt;
4. Copy of Notice of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495; and
5. Postcard.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit  
 Account No. 23-0920. A duplicate copy of this sheet is enclosed.

Very truly yours,

WELSH & KATZ, LTD.

By   
 Eric D. Cohen

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79493US

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Estep

Serial No.: 09/914,969

Conf. No. 6639

Filed: September 6, 2001

For: DIVING MASK WITH  
EMBEDDED COMPUTER  
SYSTEM

Examiner: Not Yet Assigned

Art Unit: 2857

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First-Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this date.

3-1-03 

Date Eric D. Cohen  
Reg. No. 38,110

**REQUEST TO CORRECT FILING RECEIPT  
AND TO CORRECT NOTICE OF ACCEPTANCE OF  
APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495**

Office of Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patents  
Washington, D.C. 20231

Sir or Madam:

Applicant respectfully requests that the Filing Receipt (copy enclosed) be corrected.

The title of the invention listed on the Filing Receipt is incorrect. The correct title of the invention should be "Diving Mask ~~With~~ Embedded Computer System." Please make this request of record and provide a Corrected Filing Receipt.

Applicant respectfully requests that the Notice of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495 (copy enclosed) be corrected. Applicant did not file a substitute

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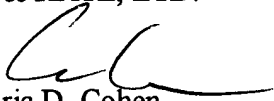
specification. Please make this request of record and provide a Corrected Notice of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495.

The Commissioner is hereby authorized to charge any additional fee which may be required for this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper amount be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet(s) is enclosed.

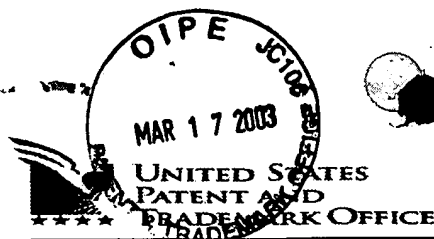
Respectfully submitted,

WELSH & KATZ, LTD.

By

  
Eric D. Cohen  
Registration No. 38,110

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March 11, 2003



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/914,969	09/06/2001	2857	430	79493-PCT	10	18	3

CONFIRMATION NO. 6639

Welsh & Katz  
22nd Floor  
120 South Riverside Plaza  
Chicago, IL 60606

**FILING RECEIPT**

\*OC000000009554478\*

Date Mailed: 02/27/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Randall S. Estep, Harrisonburg, VA;

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/US01/20064 06/22/2001

**Foreign Applications**

Projected Publication Date: 06/05/2003

Non-Publication Request: No

Early Publication Request: No

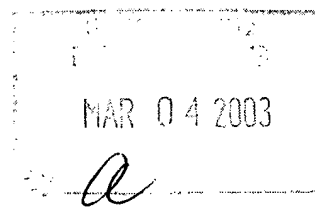
**\*\* SMALL ENTITY \*\***

**Title**

Process for the production of epothiolone b and derivatives as well as intermediate products for this process

**Preliminary Class**

702



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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



MAR 17 2003

UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/914,969	Randall S. Estep	79493-PCT

INTERNATIONAL APPLICATION NO.

PCT/US01/20064

I.A. FILING DATE

PRIORITY DATE

06/22/2001

Welsh & Katz  
 22nd Floor  
 120 South Riverside Plaza  
 Chicago, IL 60606

CONFIRMATION NO. 6639

371 ACCEPTANCE LETTER



\*OC00000009554479\*

Date Mailed: 02/27/2003

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

09/06/2001

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
 (c)(4) REQUIREMENTS

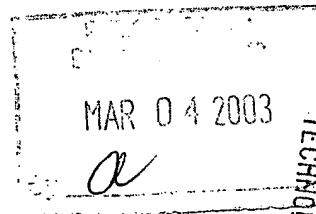
09/06/2001

DATE OF RECEIPT OF ALL 35 U.S.C.  
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination
- Substitute Specification



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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)